

Docket No.: OIQ-001RCE
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Eric Hellman *et al.*

Application No.: 09/437378

Art Unit: 2155

Filed: November 10, 1999

Examiner: Young, N. Won

For: **RETRIEVAL OF DIGITAL OBJECTS BY
REDIRECTION OF CONTROLLED
VOCABULARY SEARCHES**

37 C.F.R. §1.132 DECLARATION OF INVENTOR

1. My name is Eric S. Hellman and I am a named inventor in the above-captioned matter.
2. In the summer of 1999, discussions took place between Mr. Herbert Van de Sompel and myself in the context of writing a business plan for funding by investors that would have included Mr. Van de Sompel as a principal.
3. During these discussions, I confidentially disclosed the invention which is the subject of pending United States Application No: 09/437, 378 to Mr. Van de Sompel.
4. Mr. Van de Sompel indicated to me that he had come up with another way of achieving the same goal as my invention that operated in a different manner using certificates.
5. During the discussions, some of which occurred via email, Mr. Van de Sompel and I discussed combining his invention with my invention in a patent application.
6. Negotiations with Mr. Van de Sompel for a business arrangement were not successful and Mr. Van de Sompel never disclosed the details of his invention to me.
7. On November 10, 1999, I filed, together with Richard Miles Poindexter, United States Application No: 09/437, 378. The application was based solely on the inventive concepts I developed with Mr. Poindexter.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 6/17/2004

Respectfully submitted,

By 
Eric S. Hellman